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PATENT

Paper No.

File: Graff-P1-03

November 14, 2005

Signed: Peter K. Trzyna (Reg. No. 32,501)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

GRAFF, Richard A.

Serial No.

10/611,305

Filed

June 30, 2003

For

COMPUTER SUPPORT FOR VALUING AND TRADING

SECURITIES THAT PRODUCE MOSTLY TAX-EXEMPT

INCOME

Group Art Unit

3625

Examiner

Honorable Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

For the above-identified patent application, please enter the following:

- 1. Information Disclosure Statement;
- 2. PTO Form 1449; and
- 3. Cited Art.

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any

overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: November 14, 2005

Peter K. Trzyna (Reg. No. 32,601)

P.O. Box 7131 Chicago, IL 60680-7131

(312) 240-0824

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PATENT

Paper No. 1

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INFORMATION DISCLOSURE STATEMENT

SIR:

This Information Disclosure Statement is being filed pursuant to the duty of disclosure, candor, and good faith embodied in 37 C.F.R. §§ 1.56 and 1.97 owed by the inventor, the inventor's assignee substantively involved in the application, and the patent attorney to the United States Patent and Trademark Office. In those cases from which the instant case claims priority, Applicant has previously submitted patents, publications, and/or other information of which the inventor is aware to help make this information of record. The Examiner is reminded to check those files for such materials.

10/611,305

It is respectfully requested that this Information Disclosure Statement be entered

and the reference(s) listed on the attached PTO-1449 be considered by the Examiner and

made of record. In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are

enclosed.

In accordance with 37 C.F.R. § 1.97(g), (h), this Information Disclosure

Statement is not to be construed as representation that a search has been made, and is not to

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APPLICANT CLAIMS SMALL ENTITY STATUS. Should any additional fees be

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over payment to Deposit Account No. 50-0235.

Respectfully submitted.

Date: November 14, 2005

Peter K. Trzyna

(Reg. No. 32,601)

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| PTO-1449 (modified) | Atty. Docket No. Graff-P1-03 | Serial No. 10/611,305 |
|---|------------------------------|--------------------------|
| List of Pagents and Publications for Applicant's NOV 14 1005 INFORMATION DISCLOSURE STATEMENT | Applicant: Richard A. Graff | |
| | Filing Date: | Group: |
| TRABE | June 30, 2003 | 3625 |
| (Use several sheets if necessary) | | |
| U.S. Patent Documents | Foreign Patent Documents | Other Art |
| See Page 1 | See Page 1 | See Page 1 |

U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Name | Class | Sub Class | Filing Date if App. |
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| | A2 | US 2003/0229555 | Dec. 11, 2003 | Marlowe-Noren | 705 | 38 | Nov. 15, 2002 |
| | A3 | | | | | | |

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| Exam. Init. | Ref. Des. | Document Number | Date | Country | Class | Sub Class | Translation Yes/No |
|----------------|--------------|--------------------|------|---------|-------|--------------|-----------------------|
| | B1 | | | | : | | |
| | B2 | | | | | | |

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

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| | C1 | Copies of Web Pages of Projector Center labeled as Projector Center, 2000-2002, projectorcenter.com, http://web.archive.org/web/20021026135235/http://www.projectorcenter.com/lease_end.asp, printed 7/19/05 |

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